

STEPHEN V. BOMSE (# 40686)
Stephen.Bomse@hellerehrman.com
HELLER EHRMAN LLP
333 Bush Street
San Francisco, CA 94104-2878
Telephone: +1 (415) 772-6142
Facsimile: +1 (415) 772-1723

Of Counsel:
STEVEN R. KUNEY
skuney@wc.com

JON R. FETTEROLF
jfetterolf@wc.com

JESSE T. SMALLWOOD
jsmallwood@wc.com

WILLIAMS & CONNOLLY LLP
725 Twelfth Street, N.W.
Washington, D.C. 20005
Telephone: (202) 434-5000
Facsimile: (202) 434-5029

Attorneys for Defendants
UBS AG

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

CITY OF STOCKTON,

Plaintiff,

vs.

BANK OF AMERICA, N.A;
BANK OF AMERICA SECURITIES, LLC;
BEAR, STEARNS & CO.; UBS AG;
JP MORGAN CHASE & CO;
LEHMAN BROTHERS INC.;
MERRILL LYNCH & CO., INC.;
MORGAN STANLEY;
PIPER JAFFRAY & CO.;
WACHOVIA BANK, N.A.;
CITIGROUP, INC.; AIG FINANCIAL

) Case No.: CV-08-4060 JL
)
) DEFENDANT UBS AG'S
) CERTIFICATION OF
) INTERESTED ENTITIES OR
) PERSONS

1 PRODUCTS CORP.; AIG SUNAMERICA)
 2 LIFE ASSURANCE CO., INC.;)
 3 FINANCIAL SECURITY ASSURANCE)
 4 HOLDINGS, LTD., FINANCIAL)
 5 SECURITY ASSURANCE, INC.;)
 6 FINANCIAL GUARANTY INSURANCE)
 7 COMPANY; AMBAC FINANCIAL GROUP,))
 8 INC.; MBIA, INC.; RABOBANK GROUP;)
 9 SALOMON SMITH BARNEY INC.;)
 10 NATIONAL WESTMINSTER BANK, PLC;))
 11 GE FUNDING CAPITAL MARKET)
 12 SERVICES, INC.; TRINITY FUNDING CO.,))
 13 LLC; GENWORTH FINANCIAL, INC.¹;)
 14 SECURITY CAPITAL ASSURANCE INC.;)
 15 NATIXIS, S.A.; SOCIÉTÉ GÉNÉRALE)
 16 S.A.; XL ASSET FUNDING, LLC;)
 17 XL LIFE INSURANCE & ANNUITY)
 18 COMPANY; CDR FINANCIAL)
 19 PRODUCTS; FELD WINTERS)
 20 FINANCIAL LLC; WINTERS & CO.)
 21 ADVISORS, LLC; CAIN BROTHERS &)
 22 CO, LLC; NATIXIS FUNDING CORP.;)
 23 GEORGE K. BAUM & CO.;)
 24 KINSELL NEWCOMB & DE DIOS INC.;)
 25 SOUND CAPITAL MANAGEMENT, INC.;)
 26 INVESTMENT MANAGEMENT)
 27 ADVISORY GROUP, INC.;)
 28 FIRST SOUTHWEST COMPANY;)
 PACKERKISS SECURITIES;)
 MORGAN KEEGAN & CO., LTD.;)
 and)
 DOES 1 – 50.)
 Defendants.)

¹ By agreement of the parties, Genworth Financial, Inc. has been dismissed without prejudice and Genworth Financial Investment Management, LLC has been served as DOE 1.

CERTIFICATION OF INTERESTED ENTITIES OR PERSONS

Pursuant to Civil L.R. 3-16, the undersigned certifies that as of this date, other than the named parties, there is no such interest to report.

Dated: September 2, 2008

By: Stephen V. Bomse / HELLER EHRMAN LLP

Stephen V. Bomse (# 40686)
HELLER EHRMAN LLP
333 Bush Street
San Francisco, CA 94104-2878
Telephone: (415) 772-6142
Facsimile: (415) 772-1723

Attorneys for Defendant
UBS AG